

FORM PTO-1449 <i>O I P E J C</i> INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>AUG 26 2004</i> <i>RECEIVED U.S. PATENT AND TRADEMARK OFFICE</i> <i>(USE SEVERAL SHEETS IF NECESSARY)</i>	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MITRAL.001C3	APPLICATION NO. 10/806,908
		APPLICANT Langberg et al.	
		FILING DATE March 23, 2004	GROUP Unknown

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
AS	1	4,128,100	12/1978	Wendorff		
	2	4,917,698	04/1990	Carpentier et al.		
	3	5,006,106	04/1991	Angelchik		
	4	5,041,130	08/1991	Cosgrove et al.		
	5	5,061,277	10/1991	Carpentier et al.		
	6	5,064,431	11/1991	Gilbertson et al.		
	7	5,099,838	03/1992	Bardy		
	8	5,104,407	04/1992	Lam et al.		
	9	5,163,955	11/1992	Love et al.		
	10	5,165,403	11/1992	Mehra		
	11	5,201,880	04/1993	Wright et al.		
	12	5,290,300	03/1994	Cosgrove et al.		
	13	5,304,131	04/1994	Paskar		
	14	5,350,420	09/1994	Cosgrove et al.		
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AS	27	5,961,440	10/1999	Schweich, Jr. et al.		

EXAMINER /Ann Schillinger/	DATE CONSIDERED 11/08/2006
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
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AS	82	60/280,038	03/2001	Cohn et al.			
AS	83	60/283,820	04/2001	Cohn et al.			
AS	84	60/312,217	08/2001	Taylor et al.			
AS	85	60/339,481	10/2001	Cohn et al.			
AS	86	60/348/424	01/2002	Taylor et al.			

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES      NO
AS	87	WO 91/19465	12/1991	PCT			
	88	WO 96/34211	10/1996	PCT			
	89	WO 98/29041	07/1998	PCT			
	90	WO 99/30647	06/1999	PCT			
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	96	WO 00/18320	04/2000	PCT			
	97	WO 01/00111 A1	01/2001	PCT			
	98	WO 01/00114 A1	01/2001	PCT			
	99	WO 01/28455 A1	04/2001	PCT			
	100	EP 0 727 239 A2	08/1996	Europe			
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AS	102	DE 196 05 042 A1	01/1998	Germany			

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Langberg et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE March 23, 2004	GROUP Unknown

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AS	103	Boyd et al., <i>Tricuspid annuloplasty</i> , September 1974, <u>The Journal of Thoracic and Cardiovascular Surgery</u> , pg. 344, 351	
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	106	Chachques et al., <i>Latissimus Dorsi Dynamic Cardiomyoplasty</i> , 1989, <u>The Society of Thoracic Surgeons</u> , pg. 600-604	
	107	McCarthy et al., <i>Clinical experience with the Novacor ventricular assist system</i> , May 1990, <u>J Thorac Cardiovasc Surg.</u> pg. 578-587	
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	109	Bolling et al., <i>Early outcome of mitral valve reconstruction in patients with end-stage cardiomyopathy</i> , April 1995, <u>The Journal of Thoracic and Cardiovascular Surgery</u> , pg. 676-683	
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	111	Bearnsen et al., <i>Development of a Prototype Magnetically Suspended Rotar Ventricular Assist Device</i> , <u>ASAIO Journal</u> 1996, pg. 275-280	
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	113	McCarthy et al., <i>Early Results with Partial Left VEntriculectomy</i> , May 1997, Presented at the 77 <sup>th</sup> Annual Meeting of the American Association of thoracic Surgeons	
	114	Buchanan et al., <i>Circumferential Suture of the Mitral Annulus for Correction of Mitral Regurgitation in Dogs</i> , 1998, <u>Veterinary Surgery Vol. 27</u> , pg. 182-193	
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AS	117	Smolens et al., <i>Mitral Valve Repair in Heart Failure</i> , 2000, <u>European Journal of Heart Failure</u> 2, pg. 365-371	

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<p>FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B</p> <p><b>INFORMATION DISCLOSURE</b></p> <p><b>STATEMENT BY APPLICANT</b></p> <p>O I P E JCTAS APR 18 2006 (use as many sheets as necessary)</p> <p>U.S. PATENT AND TRADEMARK OFFICE</p>	Attorney Docket Number	PVI-5813CIPCON1/53763/ MEG/E303
	Application Number	10/806,906
	Filing Date	March 23, 2004
	Applicant(s)	Jonathan J. Langberg, et al.
	Group Art Unit	3738
	Examiner Name	To be assigned

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
AS		US 2001/0044568 A1	11-22-2001	Langberg, et al.
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		5,170,802	12-15-1992	Mehra
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<b>INFORMATION DISCLOSURE</b>		Application Number <b>10/806,906</b>
<b>STATEMENT BY APPLICANT</b>		Filing Date <b>March 23, 2004</b>
(use as many sheets as necessary)		Applicant(s) <b>Jonathan J. Langberg, et al.</b>
		Group Art Unit <b>3738</b>
		Examiner Name <b>To be assigned</b>

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AS		DE 196 11 755 A1	02-05-1998	Gleich Anmelder
		WO 95/16407	06-22-1995	Reimold et al.
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AS		WO 02/05888 A1	01-24-2002	Streeter et al.	
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AS		WO 02/078576 A2	10-10-2002	Liddicoat et al.	
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OTHER DOCUMENTS		
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AS		LAAKSOVIRTA et al., <i>Expansion and bioabsorption of the self-reinforced lactic and glycolic acid copolymer prostatic spiral stent</i> , PubMed, Excerpt from J Urol 2001 Sep; 166(3):919-22, one sheet
AS		LIU et al., <i>Sutural expansion osteogenesis for management of the bony-tissue defect in cleft palate repair: experimental studies in dogs</i> , PubMed, Excerpt from Plast Reconstr Surg 2000 May; 105(6):2012-25; discussion 2026-7, two sheets
AS		YONEYAMA et al., <i>Super-elastic property of Ti-Ni alloy for use in dentistry</i> , PubMed, Excerpt from Front Med Biol Eng 2000; 10(2):97-103, one sheet
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AS		KUO et al., <i>The use of nickel-titanium alloy in orthopedic surgery in China</i> , PubMed, Excerpt from Orthopedics 1989 Jan; 12(1):111-6, one sheet
AS		CIVJAN et al., <i>Potential applications of certain nickel-titanium (nitinol) alloys</i> , PubMed, Excerpt from J Dent Res 1975 Jan-Feb;54(1):89-96, one sheet
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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> (use as many sheets as necessary)	Attorney Docket Number	PVI-5813CIPCON1/53763/ MEG/E303
	Application Number	10/806,906
	Filing Date	March 23, 2004
	Applicant(s)	Jonathan J. Langberg, et al.
	Group Art Unit	3738
	Examiner Name	To be assigned

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AS		BRENNAN, <i>SUITE OF SHAPE-MEMORY POLYMERS</i> , <a href="http://pubs.acs.org/cen/topstory/7906/7906notw1.html">http://pubs.acs.org/cen/topstory/7906/7906notw1.html</a> , News of the Week Materials, February 5, 2001, Volume 79, Number 6, Cenear 79 6 pp.5, ISSN 0009-2347, three sheets
		STIKEMAN, <i>Total Recall</i> , AN MIT ENTERPRISE TECHNOLOGY REVIEW - Innovation, June 2001, two sheets
		European Patent Office Office action dated December 22, 2003 for Application No. 00 946 661.6 - 2310, 4 sheets
		Written Opinion dated November 8, 2002 for International application No. PCT/EP01/10371, 14 sheets
		International Search Report dated April 23, 2002 for International application No. PCT/EP 01/10371, 4 sheets
		International Search Report dated March 15, 2000 for National application No. SE 9902455-6, 3 sheets
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